

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jacobson  
Appl. No. : 09/974,936  
Patent No. : 6,767,662  
Issued : July 27, 2004  
For : ELECTROCHEMICAL DEVICE AND  
PROCESS OF MAKING

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**ERROR IN SMALL ENTITY STATUS**

Mail Stop M Correspondence  
Director of the USPTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The assignee of the whole interest in the above-referenced patent is the Regents of the University of California, a nonprofit organization, and as such had been entitled to be accorded small entity status pursuant to 37 CFR 1.27 (a)(3).

During prosecution of this patent application, the Regents of the University of California entered into a license agreement with a large for-profit company for rights to the patent. At that time we began paying normal fees. The loss of small entity status was further noted on the Issue Fee Transmittal, a copy of which is attached.

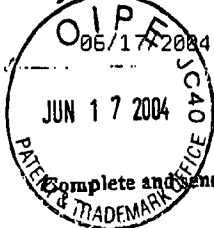
It has come to our attention that for the purpose of Maintenance Fees this patent is listed as having small entity status. We would appreciate it if you would correct this error.

Respectfully submitted,  
Regents of the University of California  
Customer No. 08076

Date: August 21, 2006

By: /R'Sue Popowich Caron/

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## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail****Mail Stop ISSUE FEE**  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FREE ADDRESS" for maintenance fee notifications.

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Charles R. Nold	(Depositor name)
C R Nold	(Signature)
6/17/2004	(Date)

8076 7590 03/17/2004  
**LAWRENCE BERKELEY NATIONAL LABORATORY**  
**ONE CYCLOTRON ROAD, MAIL STOP 90B**  
**UNIVERSITY OF CALIFORNIA**  
**BERKELEY, CA 94720**

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/974,936	10/10/2001	Craig P. Jacobson	IB-1405	1117

TITLE OF INVENTION: ELECTROCHEMICAL DEVICE AND PROCESS OF MAKING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES NO	\$665	\$300	\$965	06/17/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
KALAFUT, STEPHEN J	1745	429-030000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-01 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Charles R. Nold  
2. Lawrence Berkeley  
3. National Laboratory

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

(A) NAME OF ASSIGNEE  
**The Regents of the University of California Oakland, California USA**Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☒ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 120690 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

C R Nold

(Date)

6/17/2004

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